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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in this Submission

112

Attorney Docket Number

2001-0226A

O T P E S C  
OCT 11 2001

## Enclosures (check all that apply)

- Fee Transmittal Form  
 Fee Attached  
 Amendment / Response  
 After Final  
 Affidavits / Declaration(s)  
 Petition for Extension of Time Request  
 Express Abandonment Request  
 Information Disclosure Statement  
 Certified Copy of Priority Document(s)  
 Response to Missing Parts under 37 CFR 1.52 or 1.53  
 Response to Missing Parts/Incomplete Application

- Assignment & Recordation Cover Sheet  
 Drawing(s) & Letter to Official Draftsman  
 Licensing-related Papers  
 Petition Routing Slip (PTO/SB/69) and Accompanying Petition  
 Petition to Convert a Provisional Application  
 Power of Attorney, Revocation Change of Correspondence Address  
 Terminal Disclaimer  
 Request for Refund

- After Allowance Communication to Group  
 Appeal Communications to Board of Appeals and Interferences  
 Appeal Communications to Group (Appeal Notice, Brief, Reply Brief)  
 Proprietary Information  
 Status Letter  
 Return Receipt Postcard  
 Additional enclosure(s) (please identify below)

Declaration and copy of postcard and fee transmittal that was submitted 07/20/2001

## Remarks

## CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or  Correspondence address below

NAME	Samuel H. Dworetzky		
ADDRESS	AT&T CORP. P.O. Box 4110		
CITY	Middletown	STATE	New Jersey
COUNTRY	United States of America	ZIP CODE	07748-4110
FAX	732-368-6932		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Thomas M. Isaacson	Reg. #	44166
TELEPHONE	410-257-5485		
SIGNATURE	<i>Thomas M. Isaacson</i>	DATE	9/28/01

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: *9-28-01*

Type or Printed Name	Nancy C. Marcovici		
Signature	<i>Nancy C. Marcovici</i>	Date	9-28-01

SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231

<b>FEE TRANSMISSION</b> Patent Fees are subject to annual revision.		Comptn of Knwn				
		Application Number	09/910090			
		Filing Date	07/20/2001			
		First Named Inventor	Mehryar Mohri			
		Examiner Name				
TOTAL AMOUNT OF PAYMENT	\$170	Group/Art Unit	2641			
		Attorney Docket No.	2001-0226A			
METHOD OF PAYMENT (check one)			FEE CALCULATION (continued)			
1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:			3. ADDITIONAL FEES			
Deposit Account Number 01-2745 Deposit Account Name AT&T CORP.			Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance			105	130	Surcharge - late filing fee or oath	
			127	50	Surcharge - late provisional filing fee or cover sheet	
			139	130	Non-English specification	130
			147	2520	For filing a request for reexamination	
			112*	920	Requesting publication of SIR prior to Examiner action	
			113*	1840	Requesting publication of SIR after Examiner action	
			115	110	Extension for reply within first month	
			116	390	Extension for reply within second month	
			117	890	Extension for reply within third month	
			118	1390	Extension for reply within fourth month	
			128	1890	Extension for reply within fifth month	
			119	310	Notice of Appeal	
			120	310	Filing a brief in support of an appeal	
			121	270	Request for oral hearing	
			138	1510	Petition to institute a public use proceeding	
			140	110	Petition to revive – unavoidable	
			141	1240	Petition to revive – unintentional	
			142	1240	Utility issue fee (or reissue)	
			143	440	Design issue fee	
			122	130	Petitions to the Commissioner	
			123	50	Petitions related to provisional applications	
			126	240	Submission of Information Disclosure Statement	
			581	40	Recording each patent assignment per property (times number of properties)	40
			146	710	Filing a submission after final rejection(37 CFR 1.129(a))	
			149	710	For each additional invention to be examined (37 CFR 1.129(b))	
Multiple Dependent Claims			Other fee (specify)			
			Other fee (specify)			
			* Reduced by Basic Filing Fee Paid		SUBTOTAL(3) 170	
SUBTOTAL (1) 0					SUBTOTAL(2) 0	

SUBMITTED BY				Complete (if applicable)		
Typed or Printed Name	Thomas M. Isaacson				Reg. Number	44166
Signature	<i>Thomas M. Isaacson</i>		Date	9/21/01	Deposit Account User ID	

**FEE TRANSMITTAL**

Patent Fees are subject to annual revision.

TOTAL AMOUNT  
OF PAYMENT

\$1,290

Complete if Known	
Application Number	
Filing Date	07/20/2001
First Named Inventor	Mehryar Mohri
Examiner Name	
Group/Art Unit	
Attorney Docket No.	2001-0226A

**METHOD OF PAYMENT** (check one) P F

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-2745  
 Deposit Account Name AT&T CORP.

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17       Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	
127	50	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	
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146	710	Filing a submission after final rejection(37 CFR 1.129(a))	
149	710	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)			
Other fee (specify)			
• Reduced by Basic Filing Fee Paid		SUBTOTAL(3) 0	

SUBTOTAL (2) 580

**SUBMITTED BY**

Complete (if applicable)

Typed or Printed Name

Thomas M. Isaacson

Reg. Number 44166

Signature

Date

07/20/2001

Deposit Account User ID



IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

Applicant(s): Mehryar Mohri  
Attorney Docket No.: 2001-0226A  
Application No.: 09/910090  
Filing Date: 07/20/2001  
Examiner Name:  
Group Art Unit: 2641  
Title: A System And Method Of Epsilon Removal Of Weighted Automata And Transducers

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231  
Box: Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
Filing Date Granted

Enclosed is the Declaration and Power of Attorney relating to the above-identified application.

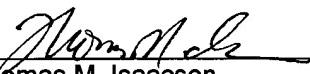
Please charge **AT&T Corp. Deposit Account No. 01-2745** in the amount of \$130.00 to cover the filing fee surcharge. A duplicate copy of this letter is enclosed. In the event of any non-payment or improper payment of a required fee, the Assistant Commissioner is authorized to charge or to credit **AT&T Corp. Deposit Account No. 01-2745** as required to correct the error.

Please note that the fee for 10 claims over 20 was paid with our fee transmittal of 07/20/2001. We are enclosing a copy of the transmittal fee along with a copy of the postcard.

A copy of PTO-1533, Notice to File Missing Parts of Application, is also enclosed.

Date: 7/27/01

By:

  
Thomas M. Isaacson  
Attorney for Applicant(s)  
Reg. No.: 44166  
T: 410-257-5485  
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Attorney's Initials: TMC  
COMMISSIONER FOR PATENTS, BOX

Docket No. 2001-0226 A  
Applicant Mehryar Mohri

<input checked="" type="checkbox"/> Specification	# of Pages	32
<input checked="" type="checkbox"/> Claims	# of Pages	8
<input checked="" type="checkbox"/> Abstract	# of Pages	1
<input checked="" type="checkbox"/> Drawings	# of Sheets	7

Formal

- Response to O.A. (Type)
- Response to Notice to File Missing Parts
- Amendment
- Notice of Appeal
- Appeal Brief
- Other Non-Publication Request

1050 U.S. PTO  
09/910090  
  
07/20/01

- PCT Request Form
- PCT Demand Form
- PCT Invitation to Correct

PT 22 Papers mailed to Commissioner of Patents and Trademarks

Express Mail No. EL 75861664PUS  
 Certificate of Mailing

Application No.

Filing Date July 20, 2001

- Assoc./Power of Attorney
- Assignment
- Recordation Form Cover Sheet
- IDS (Information Disclosure Statement)
- Oath and Declaration
- PTO 1449 Form with references
- Preliminary Amendment
- Transmittal Letter  Fee Transmittal Form
- Issue Fee Transmittal
- Letter to Official Draftsman
- Extension of Time



**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/910,090	07/20/2001	Mehryar Mohri	2001-0226A



Samuel H. Dworetzky  
AT&T CORP.  
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Middletown, NJ 07748-4110

**CONFIRMATION NO. 2371**  
**FORMALITIES LETTER**



\*OC00000006535395\*

Date Mailed: 09/10/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$90.
  - \$90 for 10 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 220.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/03/2001 SMINASS1 00000014 012745 09910090

01 FC:105

130.00 CH

02 FC:102

400.00 CH